

# Formal Languages: Concepts

- ▶ Alphabet ( $A$ ) - any finite non-empty set of letters (used to write the input)  
e.g.  $A = \{0, 1\}$ ,  $E = \{a, b, c, \dots, z\}$
- ▶ Word ( $w$ ) (akka string) - finite sequence of letters (elements of the alphabet  $A$ )  
 $w \in A^*$  (here  $A^*$  is the set of all finite sequences of elements of  $A$ )  
 $A^* = \{\varepsilon, 0, 1, 00, 01, 10, 11, 000, 001, \dots\}$  (all words)  
We write sequence denoting a word by just writing one letter after another  
 $\varepsilon$  is the word of length zero (empty string)  
Length of the word  $|w|$  is the number of symbols (repetitions count):  $|01011| = 5$
- ▶ Language ( $L$ ) - a set of words (possibly empty, possibly infinite)  
 $L \subseteq A^*$   
e.g.  $L_1 = \{1, 11, 111, \dots\}$  (words of length one or more, containing only 1-s)  
 $L_2 = \{\varepsilon, 00, 01, 10, 11, 0000, 0001, 0010, \dots\}$  (words of even length)  
 $L_3 = \{0, 101, 111, 00000\}$  (finite language with these specific four words)

# Definition of Words in Set Theory

Let  $A$  be the alphabet. We define words of length  $n$ , denoted  $A^n$

Definition:  $A^0 = \{\varepsilon\}$  (only one word of length zero, always denoted  $\varepsilon$ )

For  $n > 0$ ,  $A^n = \{f \mid f : \{0, \dots, n-1\} \rightarrow A\}$

A non-empty word is just a function that tells us what the letters are and in which order.

For  $w = \mathbf{1011}$  we thus have:

$$w(0) = \mathbf{1} \quad w(1) = \mathbf{0} \quad w(2) = \mathbf{1} \quad w(3) = \mathbf{1}$$

( We also write the pretty  $w_{(i)}$  instead of  $w(i)$  )

Set of all words:

$$A^* = \bigcup_{n \geq 0} A^n$$

which means:  $w \in A^*$  if and only iff there exists  $n$  such that  $w \in A^n$ .

Note: sometimes people represent e.g. 1011 as  $(1,0,1,1)$ , but we can think of  $n$ -tuple as a function  $\{0, \dots, n-1\} \rightarrow A$ , so that is equivalent.

# Word Equality

Words are equal when they have same length and same letters in the same order:

Let  $u, v \in A^*$ . Then

$u = v$  if and only if both

1.  $|u| = |v|$  and
2. for all  $i$  where  $0 \leq i < |u|$  we have  $u_{(i)} = v_{(i)}$

## Words as Scala Lists

```
sealed abstract class List[A] { // A is the alphabet
  def ::(t:A): List[A] = Cons(t, this)
  def length: BigInt = this match {
    case Nil() ⇒ BigInt(0)
    case Cons(h, t) ⇒ 1 + t.length }
  def apply(index: BigInt): A = {
    this match {
      case Cons(h,t) ⇒
        if (index == BigInt(0)) h
        else t(index-1) } }
}
case class Nil[A]() extends List[A]
case class Cons[A](h: A, t: List[A]) extends List[A]

val w = 1 :: 0 :: 1 :: 1 :: Nil[Int]() // 1011
val n = w.length // 4
val z = w(1) // 0
```

# Words as Inductive Structures

If  $a \in A$  and  $u \in A^*$ , let  $a \cdot u$  denote the word that starts with  $a$  and then follows with symbols from  $u$  (like Cons).

## Theorem (Decomposing a word)

*Given  $w \in A^*$ , either  $w = \varepsilon$  or  $w = a \cdot v$  where  $a \in A$  and  $v \in A^*$ .*

## Theorem (Equality)

*Given  $u, v \in A^*$  we have  $u = v$  if and only if one of the following conditions hold:*

- ▶  $u = \varepsilon$  and  $v = \varepsilon$ .
- ▶ *there exists  $a \in A$  and  $u', v' \in A^*$  such that  $u = a \cdot u'$ ,  $v = a \cdot v'$  and  $u' = v'$ .*

## Theorem (Structural induction for words)

*Given a property of words  $P : A^* \rightarrow \{\text{true}, \text{false}\}$ , if (1)  $P(\varepsilon)$  and, (2) for every letter  $a \in A$  and every  $u$ ,  $P(u)$  implies  $P(a \cdot u)$ , then:  $\forall u \in A^*. P(u)$ .*

## Each Word is Finite. The Set of All of Them is Infinite

Each word has a finite length, and each symbol is an element from a finite set. Thus, each word is a finite object that can be written down using finitely many bits.

That set of all words is countably infinite: it is as big as the set of natural numbers.

For example, if  $A = \{1\}$  then each word is of the form  $1 \dots 1$  and is uniquely given by its length  $n$ . Thus, there is a bijection between such words and non-negative integers  $n$ , which, by definition, means that these two sets have the same cardinality. Similarly, if  $A = \{0, 1\}$ , we have a bijection between positive integers and words over  $A$ : given a word of length  $n$  of the form  $k_1 \dots k_n$  we can assign it to a strictly positive integer whose binary number representation is

$$\overline{1k_1 \dots k_n}$$

Such mapping establishes a bijection between  $A^*$  and positive integers. More generally, we can show that, for any alphabet  $A$  the set of all words  $A^*$  is a countably infinite set. Intuitively, we can take any total ordering on  $A$  and use it to sort all words as in a dictionary. This defines a bijection with non-negative integers.

# Concatenation

Concatenation is a fundamental operation on words, and denotes putting the words of one word after another. For example, concatenating words 01 and 10, denoted  $01 \cdot 10$ , results in the word 0110.

Concatenation of  $u = u_{(0)} \dots u_{(n-1)}$  and  $v = v_{(0)} \dots v_{(m-1)}$ , denoted  $u \cdot v$ , or  $uv$  for short, is the word

$$u_{(0)} \dots u_{(n-1)} v_{(0)} \dots v_{(m-1)}$$

## Definition

$u \cdot v$  is the unique word  $w$  such that  $|w| = |u| + |v|$  and for all  $i$  where  $0 \leq i < |w|$ ,

$$w_{(i)} = \begin{cases} u_{(i)}, & \text{if } 0 \leq i < |u| \\ v_{(i-|u|)}, & \text{if } |u| \leq i < |u| + |v| \end{cases}$$

Note that it follows:  $w \cdot \varepsilon = w$  and  $\varepsilon \cdot w = w$

# Associativity of Concatenation

## Theorem

For all  $u, v, w \in A$ ,

$$u \cdot (v \cdot w) = (u \cdot v) \cdot w$$

First, we show that the two words have the same length. Indeed,

$$|u \cdot (v \cdot w)| = |u| + |v \cdot w| = |u| + |v| + |w| \text{ and likewise}$$

$$|(u \cdot v) \cdot w| = |u \cdot v| + |w| = |u| + |v| + |w|.$$

Next, we show that the letters are same at all positions  $i$  where  $0 \leq i < |u| + |v| + |w|$ .

Pick any such  $i$ . There are three cases, depending on the interval to which  $i$  belongs.

**Case**  $i < |u|$ . We have  $(u \cdot (v \cdot w))_{(i)} = u_{(i)}$  by the definition of concatenation.

Similarly, because  $i < |u \cdot v|$ , we have that likewise  $((u \cdot v) \cdot w)_{(i)} = (u \cdot v)_{(i)} = u_{(i)}$ .

**Case**  $|u| \leq i < |u| + |v|$ . We have  $(u \cdot (v \cdot w))_{(i)} = (v \cdot w)_{i-|u|} = v_{i-|u|}$  and also

$$((u \cdot v) \cdot w)_{(i)} = (u \cdot v)_i = v_{i-|u|}.$$

**Case**  $|u| + |v| \leq i$ . We have  $(u \cdot (v \cdot w))_{(i)} = (v \cdot w)_{i-|u|} = w_{i-|u|-|v|}$  and also

$$((u \cdot v) \cdot w)_{(i)} = w_{i-|u \cdot v|} = w_{i-|u|-|v|}.$$



# Free Monoid of Words

The neutral element and associativity law imply that the structure  $(A^*, \cdot, \varepsilon)$  is an algebraic structure called *monoid*. The monoid of words is called the *free monoid*. Word monoid satisfies, among others, the following additional properties (which do not hold in all monoids).

## Theorem (Left cancellation law)

*For every three words  $u, v, w \in A^*$ , if  $wu = wv$ , then  $u = v$ .*

## Theorem (Right cancellation law)

*For every three words  $u, v, w \in A^*$ , if  $uw = vw$ , then  $u = v$ .*

# Reversal

Reversal of a word is a word of same length with symbols but in the reverse order.

Example: the reversal of the word 011, denoted  $(011)^{-1}$ , is the word 110.

## Definition

Given  $w \in A^*$ , its reversal  $w^{-1}$  is the unique word such that  $|w^{-1}| = |w|$  and  $w_{(i)}^{-1} = w_{(|w|-1-i)}$  for all  $i$  where  $0 \leq i < |w|$ .

From definition it follows that  $\varepsilon^{-1} = \varepsilon$  and that  $a^{-1} = a$  for all  $a \in A$ .

## Theorem

For all  $u, v \in A^*$ ,  $(u^{-1})^{-1} = u$  and  $(uv)^{-1} = v^{-1}u^{-1}$ .

Every law about words has a dual version.

Here is the dual of induction principle, where we peel off last elements.

## Theorem (Structural induction for words (dual))

Given a property of words  $P : A^* \rightarrow \{\text{true}, \text{false}\}$ , if (1)  $P(\varepsilon)$  and, (2) for every letter  $a \in A$  and every  $u$ ,  $P(u)$  implies  $P(u \cdot a)$ , then:  $\forall u \in A^*. P(u)$ .

# Prefix, Postfix, and Slice

## Definition

Let  $u, v, w \in A^*$  such that  $uv = w$ . We then say that  $u$  is a *prefix* of  $w$  and that  $v$  is a *suffix* of  $w$ .

## Definition

Given a word  $w \in A^*$  and two integers  $p, q$  such that  $0 \leq p \leq q \leq |w|$ , the  $[p, q]$ -*slice* of  $w$ , denoted  $w_{[p,q]}$ , is the word  $u$  such that  $|u| = q - p$  and  $u(i) = w_{(p+i)}$  for all  $i$  where  $0 \leq i < q - p$ .

## Theorem

Let  $w \in A^*$  and  $u = w_{[p,q]}$  where  $0 \leq p \leq q \leq |w|$ . Then there exist words  $x, y \in A^*$  such that  $|x| = p$ ,  $|y| = |w| - q$ , and  $w = xuy$ .

## Theorem

Let  $w, u, x, y \in A^*$  and  $w = xuy$ . Then  $x = w_{[0,|x|]}$ ,  $u = w_{[|x|,|x|+|u|]}$  and  $y = w_{[|x|+|u|,|w|]}$ .

## Slice in Scala

$w \in A^*$ ,  $0 \leq p \leq q \leq |w|$ ,  $[p, q)$ -slice of  $w$ , denoted  $w_{[p,q)}$ , is  $u$  such that  $|u| = q - p$  and  $u(i) = w_{(p+i)}$  for all  $i$  where  $0 \leq i < q - p$ .

```
def slice(i: BigInt, j: BigInt): List[T] = {  
  require(0 <= i && i <= j && j <= length)  
  this match {  
    case Nil() => Nil()  
    case Cons(h,t) =>  
      if (i == 0 && j == 0) Nil()  
      else if (i == 0) Cons(h, t.slice(0, j-1))  
      else t.slice(i-1, j-1)  
  }  
} ensuring(_.size == j - i)
```