



Functional Programming Principles in Scala

Principles of Functional Programming

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Programming Paradigms

Paradigm: In science, a *paradigm* describes distinct concepts or thought patterns in some scientific discipline.

Main programming paradigms:

- ▶ imperative programming
- ▶ functional programming
- ▶ logic programming

Orthogonal to it:

- ▶ object-oriented programming

Review: Imperative programming

Imperative programming is about

- ▶ modifying mutable variables,
- ▶ using assignments
- ▶ and control structures such as if-then-else, loops, break, continue, return.

The most common informal way to understand imperative programs is as instruction sequences for a Von Neumann computer.

Imperative Programs and Computers

There's a strong correspondence between

Mutable variables	≈	memory cells
Variable dereferences	≈	load instructions
Variable assignments	≈	store instructions
Control structures	≈	jumps

Problem: Scaling up. How can we avoid conceptualizing programs word by word?

Reference: John Backus, Can Programming Be Liberated from the von. Neumann Style?, Turing Award Lecture 1978.

Scaling Up

In the end, pure imperative programming is limited by the “Von Neumann” bottleneck:

One tends to conceptualize data structures word-by-word.

We need other techniques for defining high-level abstractions such as collections, polynomials, geometric shapes, strings, documents.

Ideally: Develop *theories* of collections, shapes, strings, ...

What is a Theory?

A theory consists of

- ▶ one or more *data types*
- ▶ *operations* on these types
- ▶ *laws* that describe the relationships between values and operations

Normally, a theory does not describe mutations!

Theories without Mutation

For instance the theory of polynomials defines the sum of two polynomials by laws such as:

$$(a*x + b) + (c*x + d) = (a + c)*x + (b + d)$$

But it does not define an operator to change a coefficient while keeping the polynomial the same!

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Whereas in an imperative program one *can* write:

```
class Polynomial { double[] coefficient; }  
Polynomial p = ...;  
p.coefficient[0] = 42;
```

Theories without Mutation

Other example:

The theory of strings defines a concatenation operator $++$ which is associative:

$$(a ++ b) ++ c = a ++ (b ++ c)$$

But it does not define an operator to change a sequence element while keeping the sequence the same!

(This one, some languages *do* get right; e.g. Java's strings are immutable)

Consequences for Programming

If we want to implement high-level concepts following their mathematical theories, there's no place for mutation.

- ▶ The theories do not admit it.
- ▶ Mutation can destroy useful laws in the theories.

Therefore, let's

- ▶ concentrate on defining theories for operators expressed as functions,
- ▶ avoid mutations,
- ▶ have powerful ways to abstract and compose functions.

Functional Programming

- ▶ In a *restricted* sense, functional programming (FP) means programming without mutable variables, assignments, loops, and other imperative control structures.
- ▶ In a *wider* sense, functional programming means focusing on the functions and immutable data.
- ▶ In particular, functions can be values that are produced, consumed, and composed.
- ▶ All this becomes easier in a functional language.

Functional Programming Languages

- ▶ In a *restricted* sense, a functional programming language is one which does not have mutable variables, assignments, or imperative control structures.
- ▶ In a *wider* sense, a functional programming language enables the construction of elegant programs that focus on functions and immutable data structures.
- ▶ In particular, functions in a FP language are first-class citizens. This means
 - ▶ they can be defined anywhere, including inside other functions
 - ▶ like any other value, they can be passed as parameters to functions and returned as results
 - ▶ as for other values, there exists a set of operators to compose functions

Some functional programming languages

In the restricted sense:

- ▶ Pure Lisp, XSLT, XPath, XQuery, FP
- ▶ Haskell (without I/O Monad or UnsafePerformIO)

In the wider sense:

- ▶ (Lisp, Scheme), Racket, Clojure
- ▶ SML, Ocaml, F#
- ▶ Haskell (full language)
- ▶ Scala
- ▶ (Smalltalk, Ruby)

(...): languages with first class functions but incomplete support for immutable data

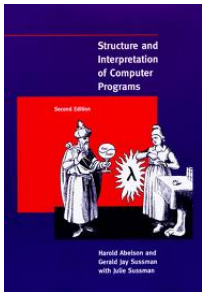
History of FP languages

1959	(Lisp)	2003	Scala
1975-77	ML, FP, Scheme	2005	F#
1978	(Smalltalk)	2007	Clojure
1986	Standard ML	2012	Elixir
1990	Haskell, Erlang	2014	Swift
2000	OCaml	2017	Idris
		2020	Scala 3

Scala 3 is the language we will use in this course.

Recommended Book (1)

Structure and Interpretation of Computer Programs. Harold Abelson and Gerald J. Sussman. 2nd edition. MIT Press 1996.

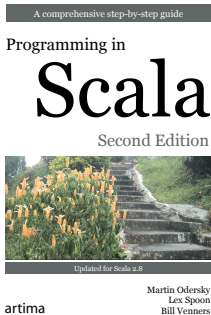


A classic. Many parts of the course and quizzes are based on it, but we change the language from Scheme to Scala.

The full text [can be downloaded here](#).

Recommended Book (2)

Programming in Scala. Martin Odersky, Lex Spoon, and Bill Venners. 3rd edition. Artima 2016.



The standard language introduction and reference.

Other Recommended Books

